Load Balancing Smoothwall Secure Web Gateway

Version 1.5.0
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<th>Page</th>
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1. About this Guide

This guide details the steps required to configure a load balanced Smoothwall Secure Web Gateway environment utilizing Loadbalancer.org appliances. It covers the configuration of the load balancers and also any Smoothwall Secure Web Gateway configuration changes that are required to enable load balancing.

For more information about initial appliance deployment, network configuration and using the Web User Interface (WebUI), please also refer to the Administration Manual.

2. Loadbalancer.org Appliances Supported

All our products can be used with Smoothwall Secure Web Gateway. For full specifications of available models please refer to https://www.loadbalancer.org/products. Some features may not be supported in all cloud platforms due to platform specific limitations, please check with Loadbalancer.org support for further details.

3. Loadbalancer.org Software Versions Supported

- v8.3.8 and later

4. Smoothwall Web Gateway Appliances Supported

- All versions

5. Loadbalancer.org & Smoothwall

Loadbalancer.org and Smoothwall have partnered to provide high performance, robust and highly available Web Filtering solutions that enable customers to deploy with confidence.

6. Benefits of Implementing a Load Balancer

Implementing Loadbalancer.org appliances enables multiple Smoothwall Web Gateways to be deployed in a cluster. This provides the following key benefits:

- **High-Availability** – If a Web Gateway fails, service is not interrupted
- **Maintenance** – Web Gateways can easily be taken out of the cluster for maintenance
- **Performance** – For additional performance simply add more Web Gateways to the cluster

7. Load Balancer Configuration Options

The following sections describe the various load balancer deployment modes and persistence options that are used when load balancing Smoothwall Web Gateways.

**Deployment Modes**

**Layer 4 DR Mode**

One-arm direct routing (DR) mode is a very high performance solution that requires little change to your existing infrastructure.

*Note* Kemp, Brocade, Barracuda & A10 Networks call this *Direct Server Return* and F5 call it *N-Path*. 
• DR mode works by changing the destination MAC address of the incoming packet to match the selected Real Server on the fly which is very fast.

• When the packet reaches the Real Server it expects the Real Server to own the Virtual Services IP address (VIP). This means that you need to ensure that the Real Server (and the load balanced application) respond to both the Real Server’s own IP address and the VIP.

• The Real Servers should not respond to ARP requests for the VIP. Only the load balancer should do this. Configuring the Real Servers in this way is referred to as **Solving the ARP Problem**. For more information please refer to [DR Mode Considerations](#).

• On average, DR mode is 8 times quicker than NAT for HTTP, 50 times quicker for Terminal Services and much, much faster for streaming media or FTP.

• The load balancer must have an Interface in the same subnet as the Real Servers to ensure layer 2 connectivity required for DR mode to work.

• The VIP can be brought up on the same subnet as the Real Servers, or on a different subnet provided that the load balancer has an interface in that subnet.

• Port translation is not possible in DR mode i.e. having a different RIP port than the VIP port.

• DR mode is transparent, i.e. the Real Server will see the source IP address of the client.

**Layer 4 NAT Mode**

Layer 4 NAT mode is also a high performance solution, although not as fast as layer 4 DR mode. This is because real server responses must flow back to the client via the load balancer rather than directly as with DR mode.
The load balancer translates all requests from the external Virtual Service to the internal Real Servers.

Normally eth0 is used for the internal network and eth1 is used for the external network although this is not mandatory. If the Real Servers require Internet access, Autonat should be enabled using the WebUI option: Cluster Configuration > Layer 4 - Advanced Configuration, the external interface should be selected.

NAT mode can be deployed in the following ways:

**2-arm (using 2 Interfaces), 2 subnets** (as shown above) - One interface on the load balancer is connected to subnet1 and the second interface and Real Servers are connected to subnet2. The VIP is brought up in subnet1. The default gateway on the Real Servers is set to be an IP address in subnet2 on the load balancer. Clients can be located in subnet1 or any remote subnet provided they can route to the VIP.

**2-arm (using 1 Interface), 2 subnets** - same as above except that a single interface on the load balancer is allocated 2 IP addresses, one in each subnet.

**1-arm (using 1 Interface), 1 subnet** - Here, the VIP is brought up in the same subnet as the Real Servers. For clients located in remote networks the default gateway on the Real Servers must be set to be an IP address on the load balancer. For clients located on the same subnet, return traffic would normally be sent directly to the client bypassing the load balancer which would break NAT mode. To address this, the routing table on the Real Servers must be modified to force return traffic to go via the load balancer. For more information please refer to One-Arm (Single Subnet) NAT Mode.

- If you want Real Servers to be accessible on their own IP address for non-load balanced services, e.g. SMTP or RDP, you will need to setup individual SNAT and DNAT firewall script rules for each Real Server or add additional VIPs for this.
- NAT mode is transparent, i.e. the Real Server will see the source IP address of the client.
- Port translation is possible in NAT mode, i.e. VIP:80 → RIPv:8080 is possible.

### NAT Mode Packet re-Writing
In NAT mode, the inbound destination IP address is changed by the load balancer from the Virtual Service IP address (VIP) to the Real Server. For outbound replies the load balancer changes the source IP address of the Real Server to be the Virtual Services IP address.

The following table shows an example NAT mode setup:

<table>
<thead>
<tr>
<th>Protocol</th>
<th>VIP</th>
<th>Port</th>
<th>RIP</th>
<th>Port</th>
</tr>
</thead>
<tbody>
<tr>
<td>TCP</td>
<td>10.0.0.20</td>
<td>80</td>
<td>192.168.1.50</td>
<td>80</td>
</tr>
</tbody>
</table>

In this simple example all traffic destined for IP address 10.0.0.20 on port 80 is load-balanced to the real IP address 192.168.1.50 on port 80.

Packet rewriting works as follows:

1) The incoming packet for the web server has source and destination addresses as:

<table>
<thead>
<tr>
<th>Source</th>
<th>Destination</th>
<th>10.0.0.20:80</th>
</tr>
</thead>
<tbody>
<tr>
<td>x.x.x.x:34567</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2) The packet is rewritten and forwarded to the backend server as:

<table>
<thead>
<tr>
<th>Source</th>
<th>Destination</th>
<th>192.168.1.50:80</th>
</tr>
</thead>
<tbody>
<tr>
<td>x.x.x.x:34567</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3) Replies return to the load balancer as:

<table>
<thead>
<tr>
<th>Source</th>
<th>Destination</th>
<th>x.x.x.x:34567</th>
</tr>
</thead>
<tbody>
<tr>
<td>192.168.1.50:80</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4) The packet is written back to the VIP address and returned to the client as:

<table>
<thead>
<tr>
<th>Source</th>
<th>Destination</th>
<th>x.x.x.x:34567</th>
</tr>
</thead>
<tbody>
<tr>
<td>10.0.0.20:80</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Persistence / Server Affinity

Persistence may or may not be required and depends on the specific Web Gateway being used. Two possible methods are described in the following sections.

Source IP Address (Recommended)

Source IP persistence is the default option for Layer 4 services. When set, clients connecting from the same source IP address within the persistence timeout period (the default is 5 minutes) will always be sent to the same Web Gateway. It’s recommended that this should be set to 1 hour minimum.

Destination Hash

Another option at Layer 4 is to change the load balancing algorithm (i.e. the "scheduler") to destination hash (DH). This causes the load balancer to select the Web Gateway based on a hash of the destination IP address. This causes session requests to be directed at the same server based solely on the destination IP address of a packet which therefore makes client connections persistent for a particular Internet host.

Since this setting is a scheduler, the way connections are load balanced will also change. However it should still provide a well balanced distribution of client sessions between the Web Gateways.
8. Web Gateway Deployment Modes

There are two implementation methods that are typically used – Non Transparent Explicit Proxy Mode & Transparent Routed Proxy Mode.

1 – Explicit Proxy Mode (Recommended)

This mode requires the load balancer’s VIP address to be defined in users' browsers. This means that the load balancer will receive client requests and distribute these requests across the back-end Web Gateways. Smoothwall refer to this as "Non-Transparent Mode". Please refer to the section Option 1 – Explicit Proxy Mode (Recommended) for configuration details.

2 – Transparent Routed Proxy Mode

With this mode, client requests must be routed to the load balancer/Web Gateway cluster. This can be achieved by either setting the default gateway on the client PCs to be the load balancer, or by adding rules to the default gateway device. Rules would typically be configured for HTTP & HTTPS traffic on ports 80 and 443. Smoothwall refer to this as "Transparent Mode". Please refer to the section Option 2 - Transparent Routed Proxy Mode for configuration details.

9. Summary of Deployment Options

<table>
<thead>
<tr>
<th>Option</th>
<th>Web Gateway Mode</th>
<th>Load Balancer Mode</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Option 1A</td>
<td>Non-Transparent</td>
<td>DR Mode</td>
<td>The Web Gateways must be configured to accept traffic for the VIP.</td>
</tr>
<tr>
<td></td>
<td>Mode</td>
<td></td>
<td>Please refer to Option 1 – Explicit Proxy Mode (Recommended) for</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>configuration details.</td>
</tr>
<tr>
<td>Option 1B</td>
<td>Non-Transparent</td>
<td>NAT Mode</td>
<td>The load balancer must be set as the default gateway for the Web</td>
</tr>
<tr>
<td></td>
<td>Mode</td>
<td></td>
<td>Gateways.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Please refer to Option 1B – Using NAT Mode for configuration details.</td>
</tr>
</tbody>
</table>
Option | Web Gateway Mode | Load Balancer Mode | Notes
--- | --- | --- | ---
Option 1C | Non-Transparent Mode | NAT Mode | A static route must be configured on the Web Gateways to send client return traffic back via the load balancer. Please refer to **Option 1C – Using NAT Mode (Preferred NAT Topology)** for configuration details.

Option 2 | Transparent Mode | DR Mode | Firewall rules must be added to the load balancer to transparently send traffic to the Web Gateways. Please refer to **Option 2 - Transparent Routed Proxy Mode** for configuration details.

**10. Loadbalancer.org Appliance – the Basics**

### Virtual Appliance

A fully featured, fully supported 30 day trial is available if you are conducting a PoC (Proof of Concept) deployment. The VA is currently available for VMware, Virtual Box, Hyper-V, KVM, XEN and Nutanix AHV and has been optimized for each Hypervisor. By default, the VA is allocated 1 CPU, 2GB of RAM and has a 20GB virtual disk. The Virtual Appliance can be downloaded [here](#).

**Note**
The same download is used for the licensed product, the only difference is that a license key file (supplied by our sales team when the product is purchased) must be applied using the appliance’s WebUI.

**Note**
Please refer to [The Virtual Appliance - Hypervisor Deployment](#) and the ReadMe.txt text file included in the VA download for more detailed information on deploying the VA using various Hypervisors.

**Note**
For the VA, 4 NICs are included but only eth0 is connected by default at power up. If the other NICs are required, these should be connected using the network configuration screen within the Hypervisor.

### Initial Network Configuration

After boot up, follow the instructions on the console to configure the IP address, subnet mask, default gateway, DNS and other network settings.
Important
Be sure to set a secure password for the load balancer, when prompted during the setup routine.

Accessing the WebUI
The WebUI is accessed using a web browser. Appliance authentication is based on Apache .htaccess files. User admin tasks such as adding users and changing passwords can be performed using the WebUI menu option: Maintenance > Passwords.

Note
A number of compatibility issues have been found with various versions of Internet Explorer. The WebUI has been tested and verified using both Chrome & Firefox.

Note
If required, users can also be authenticated against LDAP, LDAPS, Active Directory or Radius. For more information please refer to External Authentication.

1. Using a browser, access the WebUI using the following URL:


2. Log in to the WebUI:

   Username: loadbalancer
   Password: <configured-during-network-setup-wizard>

   Note
   To change the password, use the WebUI menu option: Maintenance > Passwords.

   Once logged in, the WebUI will be displayed as shown below:
Note: The WebUI for the VA is shown, the hardware and cloud appliances are very similar. The yellow licensing related message is platform & model dependant.

3. You’ll be asked if you want to run the Setup Wizard. If you click **Accept** the Layer 7 Virtual Service configuration wizard will start. If you want to configure the appliance manually, simple click **Dismiss**.

Main Menu Options

- **System Overview** - Displays a graphical summary of all VIPs, RIPs and key appliance statistics
- **Local Configuration** - Configure local host settings such as IP address, DNS, system time etc.
- **Cluster Configuration** - Configure load balanced services such as VIPs & RIPs
- **Maintenance** - Perform maintenance tasks such as service restarts and taking backups
- **View Configuration** - Display the saved appliance configuration settings
- **Reports** - View various appliance reports & graphs
**HA Clustered Pair Configuration**

Loadbalancer.org recommend that load balancer appliances are deployed in pairs for high availability. In this guide a single unit is deployed first, adding a secondary slave unit is covered in Configuring HA - Adding a Slave Appliance.

### 11. Option 1 – Explicit Proxy Mode (Recommended)

**Option 1A – Using DR (Direct Return) Mode (Recommended)**

**Deployment Architecture**

![Deployment Architecture Diagram](image)

**Notes:**
• Browser settings on client PC’s must be changed to point at the Virtual Service (VIP) on the load balancer (see Client Configuration).

• The load balancers are configured in one-arm Layer 4 DR mode

• The Smoothwall Web Gateways must be configured to accept traffic for the VIP (see Web Gateway Configuration)

• Loadbalancer.org recommend that load balancer appliances are deployed in pairs for high availability. In this guide a single unit is deployed first, adding a secondary slave unit is covered in Configuring HA - Adding a Slave Appliance.

Load Balancer Configuration

Create the Virtual Service (VIP)

1. Using the WebUI, navigate to: Cluster Configuration > Layer 4 – Virtual Services.
2. Click Add a New Virtual Service
3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Virtual Service IP Address</td>
<td>192.168.2.202</td>
</tr>
<tr>
<td>Ports</td>
<td>800</td>
</tr>
<tr>
<td>Protocol</td>
<td>TCP</td>
</tr>
<tr>
<td>Forwarding Method</td>
<td>Direct Routing</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the VIP, e.g. Proxy
5. Set the Virtual Service IP address field to the required IP address, e.g. 192.168.2.202
6. Set the Virtual Service Ports field to the required port, e.g. 800

Note: It is possible to use * in the Ports field instead of a specific port or list/range of ports.

Using * allows the virtual service to load balance traffic on all ports, which can be useful if the service uses many different ports. It also removes the need to make changes on the load balancer if additional ports are added to the Smoothwall servers in the future.

7. Ensure that Protocol is set to TCP
8. Ensure that Forwarding Method is set to Direct Routing
9. Click Update
10. Now click Modify next to the newly created VIP**
11. Ensure Persistence is enabled and set Persistence Timeout to 3600 (i.e. 1 hour)
It is optionally possible to define the parent node of the Smoothwall cluster as a fallback server for the new virtual service.

Smoothwall parent nodes do not ordinarily process client traffic and are designed to handle logging and cluster configuration only. It may be desirable, however, for the load balancer to direct client traffic to the parent node in the event that all real servers, i.e. all Smoothwall child nodes, fail health checking and are marked as offline.

To implement this, enter the IP address of the parent node in the IP Address field under the Fallback Server section, and leave the Port field under the Fallback Server section empty.

12. Click Update

Define the Real Servers (RIPs)

1. Using the WebUI, navigate to: Cluster Configuration > Layer 4 – Real Servers

2. Click Add a new Real Server next to the newly created VIP

3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Real Server IP Address</td>
<td>192.168.2.210</td>
</tr>
<tr>
<td>Weight</td>
<td>100</td>
</tr>
<tr>
<td>Minimum Connections</td>
<td>0</td>
</tr>
<tr>
<td>Maximum Connections</td>
<td>0</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the first Web Gateway, e.g. Proxy1

5. Change the Real Server IP Address field to the required IP address, e.g. 192.168.2.210

6. Click Update

7. Repeat the above steps to add your other Web Gateway(s).

Web Gateway Configuration

Modify the Web Gateways to accept traffic for the VIP

Concept

As mentioned previously, DR mode is our recommended load balancer operating mode. To use this mode, changes are required to the real servers, i.e. the Web Gateways. The real servers must accept traffic for the VIP, but they must not respond to any ARP requests for that IP, only the VIP should do this.

Using the Smoothwall WebUI

To configure the Smoothwall appliance for load balancing use the WebUI option: Web Proxy > Settings > Advanced, then enter the required Virtual Service (VIP) IP address as shown below:
Virtual IPs must be used with an external load balancing device.

Direct Server Return Virtual IP:

<table>
<thead>
<tr>
<th>Search/Add entries</th>
</tr>
</thead>
<tbody>
<tr>
<td>192.168.2.202</td>
</tr>
</tbody>
</table>

Edit in full-text mode 1 entries

Finalize Settings
Now refer to the section Configuration Settings Common to Options 1A, 1B & 1C to finalize Web Gateway settings and configure client browser settings.

Option 1B – Using NAT Mode
Deployment Architecture
Notes:

- Browser settings on client PC’s must be changed to point at the Virtual Service (VIP) on the load balancer (see Client Configuration)

- The load balancer is configured in two-arm Layer 4 NAT mode

- Return traffic MUST pass back via the load balancer. To enable this, the default gateway for the Web Gateways is configured to be the load balancer. For an HA pair, a floating IP address must be configured to allow the gateway to move between master and slave in the event of a failover (see Define a Floating IP to be used as the Default Gateway for the Web Gateways).

- Loadbalancer.org recommend that load balancer appliances are deployed in pairs for high availability. In this guide a single unit is deployed first, adding a secondary slave unit is covered in Configuring HA - Adding a Slave Appliance
Load Balancer Configuration

Configure Network Settings

Two interfaces are required. Typically eth0 is used for the internal (Web Gateway) subnet and eth1 is used for the external (client & VIP) subnet, although this is not mandatory since interfaces can be used as required / preferred.

To configure network settings on the load balancer:

1. Ensure that the required cables are plugged in (hardware) or virtual NICs are connected (virtual)
2. Using the WebUI, navigate to: Local Configuration > Network Interface Configuration
3. Define the required IP addresses and subnet mask:

   ![IP Address Assignment]

   eth0 10 GB/s
   192.168.4.200/24

   eth1 10 GB/s
   192.168.2.200/24

4. Configure the required IP address for eth0, e.g. **192.168.4.200/24**
5. Configure the required IP address for eth1, e.g. **192.168.2.200/24**
6. Click **Configure Interfaces**

Define a Floating IP to be used as the Default Gateway for the Web Gateways

As mentioned, when using a clustered pair of load balancers for HA (our recommended configuration), a floating IP must be used as the default gateway for the Web Gateways. This will 'float' between the master and slave units in the event of a failover or failback. This ensures that the Web Gateways always have a consistent return path via the load balancer – whether the master or slave is active.

To configure a Floating IP:

1. Using the WebUI, navigate to: Cluster Configuration > Floating IP's

   ![New Floating IP]

   192.168.4.205

2. Define a suitable IP address for the default gateway, e.g. **192.168.4.205**
3. Click **Add Floating IP**
Create the Virtual Service (VIP)

1. Using the WebUI, navigate to: *Cluster Configuration > Layer 4 – Virtual Services*.

2. Click **Add a New Virtual Service**

3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Virtual Service IP Address</td>
<td>192.168.2.202</td>
</tr>
<tr>
<td>Ports</td>
<td>8080</td>
</tr>
<tr>
<td>Protocol</td>
<td>TCP</td>
</tr>
<tr>
<td>Forwarding Method</td>
<td>NAT</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the VIP, e.g. **Proxy**

5. Set the *Virtual Service IP address* field to the required IP address, e.g. **192.168.2.202**

6. Set the *Virtual Service Ports* field to the required port, e.g. **8080**

   **Note**
   
   It is possible to use * in the *Ports* field instead of a specific port or list/range of ports.

   Using * allows the virtual service to load balance traffic on all ports, which can be useful if the service uses many different ports. It also removes the need to make changes on the load balancer if additional ports are added to the Smoothwall servers in the future.

7. Ensure that *Protocol* is set to **TCP**

8. Ensure that *Forwarding Method* is set to **NAT**

9. Click **Update**

10. Now click **Modify** next to the newly created VIP**

11. Ensure *Persistence* is enabled and set *Persistence Timeout* to **3600** (i.e. 1 hour)

   **Note**
   
   It is optionally possible to define the parent node of the Smoothwall cluster as a fallback server for the new virtual service.

   Smoothwall parent nodes do not ordinarily process client traffic and are designed to handle logging and cluster configuration only. It may be desirable, however, for the load balancer to direct client traffic to the parent node in the event that all real servers, i.e. all Smoothwall child nodes, fail health checking and are marked as offline.

   To implement this, enter the IP address of the parent node in the *IP Address* field under the *Fallback Server* section, and set the *Port* field under the *Fallback Server* section to the required port (if multiple ports must be supported, set the *Port* field value to 0).

12. Click **Update**.
Define the Real Servers (RIPs)

1. Using the WebUI, navigate to: Cluster Configuration > Layer 4 – Real Servers

2. Click Add a new Real Server next to the newly created VIP.

3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Real Server IP Address</td>
<td>192.168.4.210</td>
</tr>
<tr>
<td>Real Server Port</td>
<td>8080</td>
</tr>
<tr>
<td>Weight</td>
<td>100</td>
</tr>
<tr>
<td>Minimum Connections</td>
<td>0</td>
</tr>
<tr>
<td>Maximum Connections</td>
<td>0</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the first Web Gateway, e.g. Proxy1

5. Set the Real Server IP Address field to the required IP address, e.g. 192.168.4.210

6. Set the Real Server Port field to the required port, e.g. 8080

7. Click Update.

8. Repeat the above steps to add your other Web Gateway(s).

Enable Auto-NAT

By default, servers behind the load balancer in a NAT configuration will not have access to the outside network. By enabling Auto-NAT, servers (i.e. the Web Gateways) will have their requests automatically mapped to the load balancer’s external IP address. The default configuration is to map all requests originating from internal network eth0 to the external IP on eth1. A different interface can be selected if required.

To enable Auto-NAT on the load balancer:

1. Using the WebUI, navigate to: Cluster Configuration > Layer 4 – Advanced configuration

<table>
<thead>
<tr>
<th>Email Alert Destination Address</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Auto-NAT</td>
<td>eth1 (Default)</td>
</tr>
<tr>
<td>Multi-threaded</td>
<td>yes</td>
</tr>
</tbody>
</table>

2. Set the Auto-NAT field to the external interface. As mentioned the default configuration is to use eth1 and the external interface and eth1 as the internal interface, but can be set to suit your needs.

3. Click Update
Web Gateway Configuration

Configure the Default Gateway
As mentioned, Option 1B requires the default gateway on the Web Gateway to be the load balancer. When using an HA pair of load balancers, the gateway on the load balancer must be a Floating IP to provide a consistent return path via the load balancer – whether the master or slave is active. Define a Floating IP to be used as the Default Gateway for the Web Gateways details how to create the Floating IP.

Note
Please refer to the Smoothwall Web Gateway documentation for instructions on setting the default gateway. This should be done on all Web Gateways.

Finalize Settings
Now refer to the section Configuration Settings Common to Options 1A, 1B & 1C to finalize Web Gateway settings and configure client browser settings.

Option 1C – Using NAT Mode (Preferred NAT Topology)
Deployment Architecture
Notes:

- Browser settings on client PC’s must be changed to point at the Virtual Service (VIP) on the load balancer (see Client Configuration).
- The load balancers are configured in two-arm Layer 4 NAT mode.
- Return traffic MUST pass back via the load balancer. To enable this, a static route is configured on the Web Gateways to send return traffic back via the load balancer. For an HA pair, a floating IP address must be configured to allow the gateway to move between master and slave in the event of a failover (see Define a Floating IP to be used as the gateway for the Static Route on the Web Gateways).
- This method is more efficient & faster than Option 1B since the Web Gateways can access the Internet directly rather than going via the load balancer.
- Loadbalancer.org recommend that load balancer appliances are deployed in pairs for high availability. In this guide a single unit is deployed first, adding a secondary slave unit is covered in Configuring HA - Adding a Slave Appliance.

Load Balancer Configuration

Configure Network Settings

Two interfaces are required. Typically eth0 is used for the internal (Web Gateway) subnet and eth1 is used for the external (client & VIP) subnet, although this is not mandatory since interfaces can be used as required / preferred.

To configure network settings on the load balancer:

1. Ensure that the required cables are plugged in (hardware) or virtual NICs are connected (virtual)
2. Using the WebUI, navigate to: Local Configuration > Network Interface Configuration.
3. Define the required IP addresses and subnet mask:

   ![IP Address Assignment Diagram]

4. Configure the required IP address for eth0, e.g. 192.168.4.200/24
5. Configure the required IP address for eth1, e.g. 192.168.2.200/24
6. Click Configure Interfaces
Define a Floating IP to be used as the gateway for the Static Route on the Web Gateways

As mentioned, when using a clustered pair of load balancers for HA (our recommended configuration), a floating IP must be used as the gateway for the static route on the Web Gateways. This will 'float' between the master and slave units in the event of a failover or failback. This ensures that the Web Gateways always have a consistent return path via the load balancer – whether the master or slave is active.

To configure a Floating IP:

1. Using the WebUI, navigate to: Cluster Configuration > Floating IP's

   ![New Floating IP](192.168.4.205)

   Add Floating IP

2. Define a suitable IP address for the default gateway, e.g. **192.168.4.205**

3. Click **Add Floating IP**

Create the Virtual Service (VIP)

1. Using the WebUI, navigate to: Cluster Configuration > Layer 4 – Virtual Services

2. Click **Add a New Virtual Service**

3. Enter the following details:

   ![Virtual Service](192.168.2.202, 8080)

4. Enter an appropriate label (name) for the VIP, e.g. **Proxy**

5. Set the Virtual Service IP address field to the required IP address, e.g. **192.168.2.202**

6. Set the Virtual Service Ports field to the required port, e.g. **8080**

   **Note**

   It is possible to use * in the Ports field instead of a specific port or list/range of ports.

   Using * allows the virtual service to load balance traffic on all ports, which can be useful if the service uses many different ports. It also removes the need to make changes on the load balancer if additional ports are added to the Smoothwall servers in the future.

7. Ensure that **Protocol** is set to TCP

8. Ensure that **Forwarding Method** is set to NAT
9. Click **Update**

10. Now click **Modify** next to the newly created VIP**

11. Ensure **Persistence** is enabled and set **Persistence Timeout to 3600** (i.e. 1 hour)

   It is **optionally** possible to define the parent node of the Smoothwall cluster as a fallback server for the new virtual service.

   Smoothwall parent nodes do not ordinarily process client traffic and are designed to handle logging and cluster configuration only. It may be desirable, however, for the load balancer to direct client traffic to the parent node in the event that all real servers, i.e. all Smoothwall child nodes, fail health checking and are marked as offline.

   To implement this, enter the IP address of the parent node in the **IP Address** field under the **Fallback Server** section, and set the **Port** field under the **Fallback Server** section to the required port (if multiple ports must be supported, set the **Port** field value to 0).

12. Click **Update**

**Define the Real Servers (RIPs)**

1. Using the WebUI, navigate to: **Cluster Configuration > Layer 4 – Real Servers**

2. Click **Add a new Real Server** next to the newly created VIP**

3. Enter the following details:

   ![Real Server Configuration Table]

   4. Enter an appropriate label (name) for the first Web Gateway, e.g. **Proxy1**

   5. Set the **Real Server IP Address** field to the required IP address, e.g. **192.168.4.210**

   6. Set the **Real Server Port** field to the required port, e.g. **8080**

   7. Click **Update**

   8. Repeat the above steps to add your other Web Gateway(s)

**Web Gateway Configuration**

**Configure a Static Route**

As mentioned, Option 1C requires a Static Route to be defined on the Web Gateway that forces client return traffic
to pass back via the load balancer. When using an HA pair of load balancers, the gateway for the static route must be a Floating IP to provide a consistent return path via the load balancer – whether the master or slave is active.

**Define a Floating IP to be used as the gateway for the Static Route on the Web Gateways** details how to create the Floating IP.

**Note**

Please refer to the Smoothwall Web Gateway documentation for instructions on configuring a Static Route. This should be done on all Web Gateways.

**Finalize Settings**

Now refer to the section **Configuration Settings Common to Options 1A, 1B & 1C** to finalize Web Gateway and client browser settings.

**Configuration Settings Common to Options 1A, 1B & 1C**

The steps in the following 3 sub sections must be followed for options 1A, 1B & 1C.

**Web Gateway Operating Mode**

The Smoothwall Web Gateway can easily be configured for client configured Explicit Proxy Mode using the policy wizard. Use the WebUI option: *Web Proxy > Authentication > Policy Wizard* and select "Non-transparent" as shown below:

![Step 1: What](image)

Now click **Next** to run through the wizard and configure the remaining settings and apply the policy

**Proxy Port Configuration**

The required proxy port can be set using the WebUI option: *Web Proxy > Authentication > Policy Wizard* as shown below:

![Proxy Port Configuration](image)
Now click **Next** to run through the wizard and configure the remaining settings and apply the policy.

**Note**  The default proxy port for Smoothwall Web Gateway is 800.

**Client Configuration**

Client browser settings must be set so that browsers connect via the VIP. In a Microsoft based LAN environment, this is typically achieved using AD group policy.

**Note**  Depending on your requirements, it may be necessary to use an FQDN rather than an IP address for the Proxy server address. If you use an FQDN, make sure you have a valid DNS configuration that correctly resolves the hostname.

**Browser Network Settings:**
12. Option 2 - Transparent Routed Proxy Mode

Deployment Architecture
Notes:

- Rules must be added to the router/firewall so that the required traffic (typically HTTP & HTTPS on port 80 & 443) is sent transparently to the load balancer, please see Router/Default Gateway Configuration for example rules for a Linux router

- As with Explicit Proxy Mode, the load balancer is configured in Layer 4 DR mode

- Firewall rules must be added to the load balancer to transparently send traffic to the Web Gateways (see Configure Firewall Rules).

- Loadbalancer.org recommend that load balancer appliances are deployed in pairs for high availability. In this guide a single unit is deployed first, adding a secondary slave unit is covered in Configuring HA - Adding a Slave Appliance.

Load Balancer Configuration
Create the Virtual Service (VIP)

1. Using the WebUI, navigate to: `Cluster Configuration > Layer 4 – Virtual Services`
2. Click **Add a New Virtual Service**
3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Virtual Service IP Address</td>
<td>1</td>
</tr>
<tr>
<td>Ports</td>
<td></td>
</tr>
<tr>
<td>Protocol</td>
<td>Firewall Marks</td>
</tr>
<tr>
<td>Forwarding Method</td>
<td>Direct Routing</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the VIP, e.g. **Proxy**
5. Change the **Virtual Service IP address** field to **1**.

**Note**
This is the reference number for the ‘Firewall Mark’. The same reference number is used when configuring the firewall rules – please see [Configure Firewall Rules](#) for more details.

6. Clear the **Virtual Service Ports** field, the ports are defined in the firewall rules in [Configure Firewall Rules](#).
7. Ensure that **Protocol** is set to **Firewall Marks**

**Note**
The ports field will be disabled when this is done.

8. Ensure that **Forwarding Method** is set to **Direct Routing**
9. Click **Update**
10. Now click **Modify** next to the newly created VIP
11. Ensure **Persistence** is enabled and set **Persistence Timeout** to **3600** (i.e. 1 hour)

**Note**
It is **optionally** possible to define the parent node of the Smoothwall cluster as a fallback server for the new virtual service.

Smoothwall parent nodes do not ordinarily process client traffic and are designed to handle logging and cluster configuration only. It may be desirable, however, for the load balancer to direct client traffic to the parent node in the event that all real servers, i.e. all Smoothwall child nodes, fail health checking and are marked as offline.

To implement this, enter the IP address of the parent node in the **IP Address** field under the **Fallback Server** section, and leave the **Port** field under the **Fallback Server** section empty.

12. Under the **Health Checks** section change **Check Type** to **Ping Server**.
13. Click Update.

Add the Floating IP
1. Using the WebUI, navigate to: Cluster Configuration > Floating IPs

   ![New Floating IP](image)

2. Enter an appropriate IP address for the Virtual Service, e.g. **192.168.2.202**
3. Click Add Floating IP

Configure Firewall Rules

**Note**

The Firewall Script page is locked by default on newer Loadbalancer.org appliances as part of "Secure Mode", which makes applying the changes described below impossible.

To enable editing of the firewall script, navigate to Local Configuration > Security, set Appliance Security Mode to Custom, and click the Update button to apply the change. Editing the Firewall Script page will then be possible.

1. Using the WebUI, navigate to: Maintenance > Firewall Script
2. Scroll down to the Firewall Marks section
3. Add the following lines to this section as shown in the screen shot below:

   ```
   iptables -t mangle -A PREROUTING -p tcp --dport 80 -j MARK --set-mark 1
   iptables -t mangle -A PREROUTING -p tcp --dport 443 -j MARK --set-mark 1
   ip rule add prio 100 fwmark 1 table 100
   ip route add local 0/0 dev lo table 100
   ```

**Note**

Please see Modified Transparent Mode Firewall Rules if you intend to forward ALL traffic to the Web Gateways.
Define the Real Servers (RIPs)

1. Using the WebUI, navigate to: _Cluster Configuration > Layer 4 – Real Servers_

2. Click _Add a New Real Server_ next to the newly created VIP

3. Enter the following details:

<table>
<thead>
<tr>
<th>Label</th>
<th>Proxy1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Real Server IP Address</td>
<td>192.168.2.210</td>
</tr>
<tr>
<td>Weight</td>
<td>100</td>
</tr>
<tr>
<td>Minimum Connections</td>
<td>0</td>
</tr>
<tr>
<td>Maximum Connections</td>
<td>0</td>
</tr>
</tbody>
</table>

4. Enter an appropriate label (name) for the first Web Gateway, e.g. *Proxy1*

5. Change the _Real Server IP Address_ field to the required IP address, e.g. *192.168.2.210*

6. Click _Update_

7. Repeat the above steps to add your other Web Gateway(s)
Web Gateway Configuration

Web Gateway Operating Mode

The Smoothwall Web Gateway can easily be configured for transparent mode using the policy wizard.

Use the WebUI option: Web Proxy > Authentication > Policy Wizard and select "Transparent" as shown below:

![Step 1: What](image)

Now click **Next** to run through the wizard and configure the remaining settings and apply the policy.

**Note** When using Transparent Routed Mode, it’s not necessary to modify the Web Gateway to accept traffic destined for the VIP, this is only required when using Explicit Proxy Mode. However, it’s still recommended to configure this so both modes are catered for from the start.

Router/Default Gateway Configuration

Depending on your network configuration, rules must be added to the router/default gateway so that all required traffic (typically HTTP & HTTPS on port 80 & 443) is sent to the floating IP address on the load balancer. The load balancer then distributes this traffic between the Web Gateways. The example shown below is for a Linux based router:

Example iptables rules for a Linux based router:

```
SUBNET="192.168.2.0/24"
FWMARK="5"
TABLE="10"
LOADBALANCER ="192.168.2.202"
iptables -t mangle -A PREROUTING -s $SUBNET -p tcp -m tcp --dport 80 -j MARK --set-mark $FWMARK
iptables -t mangle -A PREROUTING -s $SUBNET -p tcp -m tcp --dport 443 -j MARK --set-mark $FWMARK
ip route add default via $LOADBALANCER dev eth3 table $TABLE
ip rule add fwmark $FWMARK table $TABLE
```

This example uses policy routing via firewall marks. This works by first selecting and marking the packets we want to be sent to the Web Gateway, i.e. all packets on port 80 & 443. Then, when the kernel goes to make a routing decision, the marked packets aren’t routed using the normal routing table, instead via table 10 in this case. Table 10 has only one entry: route packets to the Web Gateway.

**Note** This is required when no changes have been made to the clients default gateway settings.
Client Configuration

If rules are configured on the router as described in the section above, no client change are required. If such rules are not configured, then the default gateway on the client PCs must be modified to be the load balancer.

13. Testing & Validation

To verify that the traffic is passing through the load balancer correctly the following reporting options can be used:

1. System Overview
2. Reports > Layer 4 Status
3. Reports > Layer 4 Current Connections

Several reporting and dashboard options are also available on the Web Gateway, for more details please refer to the Smoothwall Web Gateway documentation.

Layer 4 – Current Connections

Explicit Proxy Mode

The example screen shot below illustrates that the test client (192.168.64.7) sends requests to the VIP (192.168.111.88), the load balancer then forwards the request onto the Web Gateway (192.168.64.60).

```
<table>
<thead>
<tr>
<th>Protocol</th>
<th>State</th>
<th>Source</th>
<th>Virtual</th>
<th>Destination</th>
</tr>
</thead>
<tbody>
<tr>
<td>TCP 13:07</td>
<td>ESTABLISHED</td>
<td>192.168.64.7:8666</td>
<td>192.168.111.88:8080</td>
<td>192.168.64.60:8080</td>
</tr>
<tr>
<td>TCP 13:05</td>
<td>ESTABLISHED</td>
<td>192.168.64.7:8663</td>
<td>192.168.111.88:8080</td>
<td>192.168.64.60:8080</td>
</tr>
<tr>
<td>TCP 13:03</td>
<td>ESTABLISHED</td>
<td>192.168.64.7:8661</td>
<td>192.168.111.88:8080</td>
<td>192.168.64.60:8080</td>
</tr>
</tbody>
</table>
```

Transparent Mode

The example screen shot below illustrates the difference when running in transparent mode.
Many reporting and dashboard options are also available in the Smoothwall Web Gateway user interface. For more details please refer to the appropriate Smoothwall documentation.

14. Technical Support

If you have any questions regarding the appliance or would like assistance designing your deployment, please don’t hesitate to contact our support team: support@loadbalancer.org.

15. Further Documentation


16. Conclusion

Loadbalancer.org appliances provide a very cost effective solution for highly available load balanced Smoothwall Secure Web Gateway environments.
17. Appendix

Configuring HA - Adding a Slave Appliance

Our recommended configuration is to use a clustered HA pair of load balancers to provide a highly available and resilient load balancing solution.

We recommend that the Primary appliance should be configured first, then the Slave should be added. Once the Primary and Slave are paired, all load balanced services configured on the Primary are automatically replicated to the Slave over the network using SSH/SCP.

Note

For Enterprise Azure, the HA pair should be configured first. In Azure, when creating a VIP using an HA pair, 2 private IPs must be specified – one for the VIP when it's active on the Primary and one for the VIP when it's active on the Slave. Configuring the HA pair first, enables both IPs to be specified when the VIP is created.

The clustered HA pair uses Heartbeat to determine the state of the other appliance. Should the active device (normally the Primary) suffer a failure, the passive device (normally the Slave) will take over.

Note

A number of settings are not replicated as part of the Primary/Slave pairing process and therefore must be manually configured on the Slave appliance. These are listed by WebUI menu option in the table below:

<table>
<thead>
<tr>
<th>WebUI Main Menu Option</th>
<th>Sub Menu Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Local Configuration</td>
<td>Hostname &amp; DNS</td>
<td>Hostname and DNS settings</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>Network Interface</td>
<td>All network settings including IP address(es), bonding configuration and VLANs</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>Routing</td>
<td>Routing configuration including default gateways and static routes</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>System Date &amp; time</td>
<td>All time and date related settings</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>Physical – Advanced</td>
<td>Various settings including Internet Proxy, Management Gateway,</td>
</tr>
<tr>
<td></td>
<td>Configuration</td>
<td>Firewall connection tracking table size, NIC offloading, SMTP relay,</td>
</tr>
<tr>
<td></td>
<td></td>
<td>logging and Syslog Server</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>Security</td>
<td>Appliance security settings</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>SNMP Configuration</td>
<td>Appliance SNMP settings</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>Graphing</td>
<td>Appliance graphing settings</td>
</tr>
<tr>
<td>Local Configuration</td>
<td>License Key</td>
<td>Appliance licensing</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Software Updates</td>
<td>Appliance software update management</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Firewall Script</td>
<td>Appliance firewall (iptables) configuration</td>
</tr>
<tr>
<td>Maintenance</td>
<td>Firewall Lockdown Wizard</td>
<td>Appliance management lockdown settings</td>
</tr>
</tbody>
</table>

To add a Slave node - i.e. create a highly available clustered pair:
1. Deploy a second appliance that will be the Slave and configure initial network settings

2. Using the WebUI, navigate to: Cluster Configuration > High-Availability Configuration

3. Specify the IP address and the loadbalancer user’s password for the Slave (peer) appliance as shown above

4. Click Add new node

5. The pairing process now commences as shown below:

6. Once complete, the following will be displayed:

7. To finalize the configuration, restart heartbeat and any other services as prompted in the blue message box at
Clicking the **Restart Heartbeat** button on the Primary appliance will also automatically restart heartbeat on the Slave appliance.

For more details on configuring HA with 2 appliances, please refer to [Appliance Clustering for HA](#).

### Modified Transparent Mode Firewall Rules

If ALL traffic is to be forwarded to the Web Gateways, the firewall rules below should be used rather than the rules in **Configure Firewall Rules**:

Replace:

```
iptables -t mangle -A PREROUTING -p tcp --dport 80 -j MARK --set-mark 1
iptables -t mangle -A PREROUTING -p tcp --dport 443 -j MARK --set-mark 1
ip rule add prio 100 fwmark 1 table 100
```

With:

```
iptables -t mangle -A PREROUTING -p tcp -j MARK --set-mark 1
iptables -t mangle -A PREROUTING -p udp -j MARK --set-mark 1
iptables -t mangle -A PREROUTING -p tcp -d <LB-IP> -j MARK --set-mark 2
iptables -t mangle -A PREROUTING -p udp -d <LB-IP> -j MARK --set-mark 2
ip rule add prio 100 fwmark 1 table 100
ip route add local 0/0 dev lo table 100
```

**Notes:**

- `<LB-IP>` should be replaced with the base IP address of the load balancer (typically eth0), this is the address used by heartbeat and for administration purpose

- If these modified firewall rules are used, then either the default gateway for client PC's should be changed to the load balancer, or the rules on the router should be changed to forward all traffic to the load balancer

- This will only work for TCP and UDP traffic. So for example, ICMP and some VPN technologies will not work because the load balancer only supports TCP and UDP.

Don't hesitate to contact our support team if you need further assistance: support@loadbalancer.org
## 18. Document Revision History

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Change</th>
<th>Reason for Change</th>
<th>Changed By</th>
</tr>
</thead>
</table>
| 1.4.0   | 6 August 2019 | Styling and layout  
Added notes explaining how * can be used in virtual service port fields  
Added note explaining how to disable "Secure Mode" to unlock the firewall script page | General styling updates  
Required updates                                                                 | AH         |
| 1.4.1   | 29 August 2019 | Added note sections on how to optionally define a parent Smoothwall node as a fallback server | Additional option documented based on discussions with Smoothwall                  | AH         |
| 1.4.2   | 5 June 2020   | New title page  
Updated Canadian contact details                                                                 | Branding update  
Change to Canadian contact details                                                  | AH         |
| 1.5.0   | 1 October 2021 | Converted the document to AsciiDoc  
Move to new documentation system                                                        | Move to new documentation system                                                   | AH,RJC,ZAC |
About Loadbalancer.org

Loadbalancer.org's mission is to ensure that its clients' businesses are never interrupted. The load balancer experts ask the right questions to get to the heart of what matters, bringing a depth of understanding to each deployment. Experience enables Loadbalancer.org engineers to design less complex, unbreakable solutions - and to provide exceptional personalized support.